Augmenting Amdahl’s Second Law: A Theoretical Model to Build Cost-Effective Balanced HPC Infrastructure for Data-Driven Science

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Abstract—High-performance analysis of big data demands more computing resources, forcing similar growth in computation cost. So, the challenge to the HPC system designers is not providing high performance only but providing high performance at lower cost. With this motivation, we propose a theoretical model augmenting Amdahl’s second law for balanced system to optimize cost/performance. We express the optimal balance among CPU-speed, I/O-bandwidth and DRAM-size (i.e., Amdahl’s I/O- and memory-number) in terms of application characteristics and hardware cost. Considering Xeon processor and current hardware prices, we showed that a system needs almost 0.17GBPS I/O-bandwidth and 3GB DRAM per GHz CPU-speed to minimize the cost/performance for data- and compute-intensive applications.

To substantiate our claim, we evaluate three different cluster architectures: 1) SupermikeII, a traditional HPC cluster, 2) SwatIII, a regular datacenter, and 3) CeresII, a MicroBrick-based novel hyperscale system. CeresII with 6-Xeon-D1541 cores (2GHz/core), 1-NVMe SSD (2GBPS I/O-bandwidth) and 64GB DRAM per node, closely resembles the optimum produced by our model. Consequently, in terms of cost/performance CeresII outperformed both SupermikeII (by 65-85%) and SwatIII (by 40-50%) for data- and compute-intensive Hadoop benchmarks (TeraSort and WordCount) and our own benchmark genome assembler based on Hadoop and Giraph.

Index Terms—component; formatting; style; styling;

I. INTRODUCTION

As the scientific research is becoming more data-driven in nature, it is obvious that providing more resources to an HPC cluster (processing speed, I/O bandwidth, DRAM) will improve the performance of data-intensive scientific applications. But at what cost? So, the major challenge to the system designers nowadays is not in providing only high performance but in providing expected performance in reduced cost (i.e., minimizing cost/performance).

At this inflection point of HPC landscape, system designers must consider more degrees of freedom for new cluster architecture for big data processing than for existing HPC clusters which focus only on doing calculation at blazing speed. They must address such questions as: how much I/O bandwidth is required per processing core? How much memory is required to optimize performance and cost? These complex performance and economic factors together motivates new designs of HPC infrastructure.

With this motivation, this paper makes an initial attempt to resolve the existing performance and cost conundrum by theoretically augmenting Amdahl’s second law (i.e., Amdahl’s I/O and memory number) for balanced system. We propose a simple additive model to optimize cost/performance by quantifying the system balance between CPU speed, I/O bandwidth, and size of DRAM in terms of software application characteristics and current trend in hardware cost. The final outcome of this model are the two modified Amdahl’s numbers which can be easily used by hardware vendors to propose cost-effective architecture for data- and compute-intensive applications.

Assuming an equal distribution of I/O and compute work in a data-intensive application, our model suggests that a balanced HPC system needs almost 0.17-GBPS I/O bandwidth, and almost 3-GB of DRAM per GHz of CPU speed using Intel Xeon processor and current price trend of different hardware.

To substantiate our claim, we evaluate three different cluster architectures: 1) SupermikeII, a traditional HPC cluster, 2) SwatIII, a regular datacenter, and 3) CeresII, a MicroBrick-based novel hyperscale system. CeresII with 6-Xeon-D1541 cores (2GHz/core), 1-NVMe SSD (2GBPS I/O-bandwidth) and 64GB DRAM per node, closely resembles the optimum produced by our model. Consequently, it outperformed both the clusters for data- and compute-intensive Hadoop benchmarks (TeraSort and WordCount) as well as our own benchmark genome assembler based on Hadoop and Giraph. Overall, CeresII showed 65-85% and 40-50% better cost/performance over SuperMikeII and SwatIII respectively.

The rest of the paper is organized as follows: Section-II describes the prior work related to our current effort. In Section-III, we discuss Amdahl’s second law in detail. Section-IV describes the proposed model. Then, in Section-V we show the details of our experimental testbeds and classify those using our proposed model. Section-VI describes the evaluation.
methodology for these clusters (i.e., the details of the software and benchmark that we used in our evaluation). In Section-VII we discuss the experimental results. Finally, Section-VIII concludes the paper with possible improvement to stimulate discussion and future work.

II. RELATED WORK

Numerous studies have been performing evaluated the performance implication of different big data analytic software (e.g., Hadoop) on different types of hardware infrastructures.

Hardware evaluation studies such as, [1], [2], [3], [4], etc. unanimously concluded that the use of SSD can accelerate the Hadoop applications with respect to standard benchmark (e.g., TeraSort, WordCount, etc.). On the other hand, [5], [6], and [7] evaluated the overall cluster architecture for different Hadoop benchmark. Although these studies provide good insight on performance of different hardware, the rapid changes in hardware technologies and cost limits their scope.

Simulation studies such as, SimMR [8], MRSim[9], MR-Perf [10], etc. reduced the hardware cost by creating virtual Hadoop job over simulated hardware environment. Although simulation is more cost-effective than real hardware, it comes with lots of software overhead. Also, the process of finding alternative architecture is mostly driven by trial-and-error method and prior experiences. Considering the broad range of available hardware alternatives with more than 200 Hadoop parameters, it is challenging to provide optimal hardware configuration and may suffer from reliability issues [11].

Analytical models such as [11], [12], [13], etc. abstract away several performance parameters and predict the performance of a Hadoop job mainly using single- or multi-layer queuing networks. Although no overhead is involved in analytical approach, like simulation it is hard to find an optimally balanced architecture in the vast range of hardware alternatives.

To date, the most practical approach to design a balanced system is to follow Amdahl’s second law. Computer scientist Gene Amdahl postulated that a balanced system needs 1bit of sequential I/O per second (Amdahl’s I/O number) and 1byte of memory (Amdahl’s memory number) per CPU instruction per second. Amdahl’s second law (with Gray’s amendment) can be used for system characterization and proposing a balanced system. For example, Bell and Gray [14] classified the existing supercomputers based upon Amdahl’s second law to clarify the future road map of the HPC architecture. Cohen [15] applied Amdahl’s second law to the datacenter cluster to study the interplay between processor architecture and network interconnect in a datacenter. Chang [16] and Zheng [17] used Amdahl’s second law to better understand the performance of hardware design implications of data analytic systems. Szalay [18], using Amdahl’s second law, proposed a new cluster architecture based on SSD and low power processors (such as, Intel Atom, Zotac etc.) to achieve a balance between performance and energy efficiency.

Unlike these studies, we consider a balanced system as one that optimizes both cost and performance. Hence, we did not consider the optimal balance of the system (i.e., the I/O and memory ratio to the processor speed) as constants as in original Amdahl’s I/O and memory number. Instead, we propose an additive model to express the optimal system balance as a function of both application characteristics and hardware price.

III. BACKGROUND

A. Original Form of Amdahl’s Second Law

Computer scientist Gene Amdahl postulated several design principles in the late 1960 for a balanced system. As mentioned earlier, these design principals are collectively known as Amdahl’s second law, which are as follows:

1) Amdahl’s I/O law: A balanced computer system needs one bit of sequential I/O per second per instruction per second. From this point we will mention this law as Amdahl’s I/O number. Alternatively, Amdahl’s I/O number of a balanced system can be expressed as 0.125 GBPS/GIPS (by changing in conventional units).

2) Amdahl’s memory law: A balanced computer system needs one byte of memory per instruction per second. From this point, we will mention this law as Amdahl’s memory number.

Using the notations in Table I, Amdahl’s I/O and memory numbers can be expressed as, $\beta_{io}^{opt} = 0.125$ and $\beta_{mem}^{opt} = 1$.

B. Gray’s Amendment to Amdahl’s Second Law

Computer scientist Jim Gray reevaluated and amended Amdahl’s second law in the context of modern data engineering. These amendments are collectively known as Gray’s law. The revised laws are as follows:

1) Gray’s I/O law: A system needs 8 MIPS/MBPS I/O (same as Amdahl’s I/O number, but in different unit), but the instruction rate and I/O rate must be measured on the relevant workload.

2) Gray’s memory law: The MB/MIPS (alternatively, GB/GIPS) ratio is rising from 1 to 4. This trend will likely continue.

The underlying implication of Gray’s I/O Law is that it aims for systems whose Amdahl’s I/O number matches the Amdahl’s I/O numbers of the applications (i.e., application balance) that run on that system. In the memory law, Gray simply put forward the statistics reflecting the contemporary state of the cluster architecture.

Using the notations in Table I Gray’s laws can be expressed as, $\beta_{io}^{opt} = \gamma_{io}$ and $\beta_{mem}^{opt} = 4$.

C. Limitations of Existing Laws

Amdahl’s second law for balanced systems does not consider the impact of application balance (or applications’ resource requirement). Because of the diverse resource requirements, a one-size-fit-all design as suggested in the original law, cannot satisfy the different resource balance ratios for a collection of analytic applications.

Gray’s law is more realistic in the sense that it considers the impact of application balance on the cluster architecture. However, it is limiting to reflect the interplay between application and cost balance. The cost of hardware components
TABLE I: Notations used in the model and their meaning

<table>
<thead>
<tr>
<th>Notation</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>$R_{cpu}$</td>
<td>CPU speed of a given system $S$ (GHz)</td>
</tr>
<tr>
<td>$R_{io}$</td>
<td>I/O bandwidth of system $S$ (GBPS)</td>
</tr>
<tr>
<td>$R_{mem}$</td>
<td>DRAM size of system $S$ (GB)</td>
</tr>
<tr>
<td>$W_{cpu}$</td>
<td>Fraction of work done by the CPU for a given application $W$</td>
</tr>
<tr>
<td>$W_{io}$</td>
<td>Fraction of work done by the disk(s) for $W$</td>
</tr>
<tr>
<td>$W_{mem}$</td>
<td>Fraction of work done by DRAM for $W$</td>
</tr>
<tr>
<td>$P_{cpu}$</td>
<td>Price per GHz of CPU speed</td>
</tr>
<tr>
<td>$P_{io}$</td>
<td>Price per GBPS of I/O bandwidth</td>
</tr>
<tr>
<td>$P_{mem}$</td>
<td>Price per GB of DRAM</td>
</tr>
</tbody>
</table>

has already changed the performance point and will keep on changing it as the technology continues to advance.

IV. PROPOSED MODEL FOR SYSTEM BALANCE

A. Problem Definition

Using the notations described in Table I, the optimal system balance (i.e., $\beta_{io}^{opt}$ and $\beta_{mem}^{opt}$) needs to be expressed as a function of application balance (i.e., $\gamma_{io}$ and $\gamma_{mem}$) and cost balance (i.e., $\delta_{io}$ and $\delta_{mem}$). Mathematically, it can be expressed as, $\beta_{io}^{opt} = f_{1}(\gamma_{io}, \delta_{io})$ and $\beta_{mem}^{opt} = f_{2}(\gamma_{mem}, \delta_{mem})$.

B. Model Assumptions

For simplicity of calculation and better usability, the model first ignores the CPU micro architecture as in [18]. That is, we consider the number of instruction executed per cycle (IPC) as proportional to CPU core frequency. Hence, express the balance between I/O and CPU in terms of GBPS/GHz, and balance between DRAM size and CPU in terms of GB/GHz.

Second, for simplicity, we assume that the model is additive. That is, we ignore the overlap between work done by I/O, and memory subsystem. This way, the total execution time ($T_{total}$) of an application can be written as:

$$T_{total} = T_{cpu} + T_{io} + T_{mem}$$

$$\Rightarrow T_{total} = \frac{W_{cpu}}{R_{cpu}} + \frac{W_{io}}{R_{io}} + \frac{W_{mem}}{R_{mem}}$$

Third, we assume the total cost of the system as the summation of individual cost of CPU, I/O, and memory subsystems only. We ignore several constant components such as base cost, service cost, etc. This way, the total system cost ($C_{total}$) can be written as:

$$C_{total} = C_{cpu} + C_{io} + C_{mem}$$

$$\Rightarrow C_{total} = P_{cpu}R_{cpu} + P_{io}R_{io} + P_{mem}R_{mem}$$

C. Model Derivation

Assuming the performance as the inverse of the total execution time, the cost/performance (denoted as $f_{cp}$) can be expressed as:

$$f_{cp} = C_{total} \times T_{total}$$

$$\Rightarrow f_{cp} = (C_{cpu} + C_{io} + C_{mem}) \times (T_{cpu} + T_{io} + T_{mem})$$

$$\Rightarrow f_{cp} = C_{cpu}T_{cpu} + C_{cpu}T_{io} + C_{cpu}T_{mem}$$

$$+ C_{io}T_{cpu} + C_{io}T_{io} + C_{io}T_{mem}$$

$$+ C_{mem}T_{cpu} + C_{mem}T_{io} + C_{mem}T_{mem}$$

Assuming a CPU core cannot perform disk and memory operation at the same time, $C_{cpu}T_{mem}$ (term-6) and $C_{mem}T_{io}$ (term-8) depicts the depreciation of one component when the other in use. That is, when the memory is in use the cost of disk is depreciated to zero and vice versa. Hence, term-6 and 8 of Equation 7 are practically insignificant and should be eliminated from Equation 7.

Then, by expanding all the time ($T$) and cost ($C$) terms using Equation 2 and 4 respectively and then substituting with the notation used for system balance in Table I (i.e., $\beta_{io}$ and $\beta_{mem}$), Equation 7 can be rewritten as:

$$f_{cp} = P_{cpu}W_{cpu} + \frac{1}{\beta_{io}}P_{cpu}W_{io} + \frac{1}{\beta_{mem}}P_{cpu}W_{mem}$$

$$+ \beta_{io}P_{io}W_{cpu} + P_{io}W_{io}$$

$$+ \beta_{mem}P_{mem}W_{cpu} + P_{mem}W_{mem}$$

Again, assuming a CPU core cannot perform disk and memory operation at the same time, partial differentiation with respect to $\beta_{io}$ and $\beta_{mem}$ can separately lead us to the optimum system balance in terms of I/O bandwidth and DRAM size respectively, with respect to processing speed.

Partially differentiating with respect to $\beta_{io}$, we get:

$$\frac{\partial f_{cp}}{\partial \beta_{io}} = \frac{1}{\beta_{io}^2}P_{cpu}W_{cpu} + \frac{1}{\beta_{io}}P_{io}W_{io}$$

For the optimal balance ($\beta_{io}^{opt}$) between CPU speed and I/O bandwidth, Equation 9 should equal to 0. Then, solving for $\beta_{io}$ and replacing it with the workload and technology-cost balance terms mentioned in Table I we get:

$$\beta_{io}^{opt} = \sqrt{\frac{\gamma_{io}}{\delta_{io}}}$$

Similarly, the optimum balance ($\beta_{mem}^{opt}$) between CPU speed and size of DRAM can be derived as:

$$\beta_{mem}^{opt} = \sqrt{\frac{\gamma_{mem}}{\delta_{mem}}}$$

Equation 10 and 11 show the contribution of application balance and cost balance towards optimal system balance.
D. Observations and Inferences

Gray’s law is a special case of our model when the cost balance equals the inverse of the application balance (i.e., the application’s CPU I/O and memory requirement exactly balance the contemporary cost of the hardware).

Figure 1 compares our model with Amdahl’s second law and Gray’s law. The horizontal x-axis shows the different types of application balance. Value of $\gamma_{io}=1$ presents an I/O as well as CPU-intensive application where Gray’s I/O law and Amdahl’s I/O law both intersect. Likewise, $\gamma_{mem}=1$ presents a memory and CPU-intensive applications. It can be observed that our model suggests to use less DRAM than suggested by Gray’s memory law. However, our model yields higher values for system’s I/O bandwidth comparing to Gray’s I/O law. This is because current price of magnetic disk or SSD is much lower than that of DRAM.

It can be noticed that lower balance ratio between per-GBPS-I/O-cost to per-GHz-CPU-cost leads to higher balance ratio between system-I/O-GBPS to system-CPU-GHz. One straightforward forward interpretation for this observation is that if per-GBPS-I/O-cost starts decreasing faster than the per-GHz-CPU-cost, designers should increase the system-I/O-GBPS of a single server to achieve the new I/O balance ratio (GBPS/GHz). However, instead of scaling up a single server in terms of storage, designers can scale out in terms of processor. That is, reduce the number of processor in a single server and add more servers with the same new system balance ratio. That is, both scaled out and scaled up architecture can produce optimal cost/performance if the proper balance is maintained.

E. Notes on Different types of I/O

Since the model is generalized for different types of I/O, system designers should be careful about the following issues to maintain the system balance (i.e., to achieve the recommended value of $\beta_{io}$):

1) Sequential vs Random I/O: System designers should be careful about the application characteristics. An application with frequent random I/O (e.g., shuffle phase of a Hadoop job) will get benefit from SSD.

## Table II: Cost of different hardware components

<table>
<thead>
<tr>
<th>Hardware components</th>
<th>Cost($)</th>
<th>Unit Price</th>
</tr>
</thead>
<tbody>
<tr>
<td>Intel Xeon 64bit 2.6 GHz E5 series (8-cores) processor</td>
<td>$1760</td>
<td>$42/GHz</td>
</tr>
<tr>
<td>Intel Xeon D-1541</td>
<td>$650</td>
<td>$54/GHz</td>
</tr>
<tr>
<td>Western Digital RE4 HDD (120MBPS), 500GB</td>
<td>$132</td>
<td>$2252.80/GBPS/TB</td>
</tr>
<tr>
<td>Western Digital Velociraptor HDD (150MBPS), 600GB</td>
<td>$167</td>
<td>$2250.00/GBPS/TB</td>
</tr>
<tr>
<td>Samsung 840Pro Series SATAIII SSD (400MBPS), 512GB</td>
<td>$450</td>
<td>$242.52/GBPS/TB</td>
</tr>
<tr>
<td>Samsung NVMe SSD PM953 (2GBPS), 950GB</td>
<td>$450</td>
<td>$242.52/GBPS/TB</td>
</tr>
<tr>
<td>Samsung DDR3 16GB memory module</td>
<td>$159</td>
<td>$10/GB</td>
</tr>
<tr>
<td>32GB 1600MHz RAM (decided by Dell)</td>
<td>$140</td>
<td>$4.37/GB</td>
</tr>
</tbody>
</table>

2) Disk Controller: Aggregate I/O bandwidth of all the disks attached to a compute node should be less than or equal to the bandwidth of the disk controllers. Otherwise, disk controller will be saturated and the application cannot utilize the full I/O bandwidth of all the disks. [7] demonstrated this issue.

3) Network I/O: The network I/O can be modeled similarly as the disk I/O model. However, system designers should be careful about network topology (e.g., FatTree, Clos, etc.) and the blocking ratio which can be changed manually affecting the cost per network bandwidth. Hence, to apply the proposed model for network I/O the impact of these factors should be eliminated. That is, given same topology and blocking ratio system designers can easily calculate optimum ratio between CPU speed and network bandwidth using the proposed model.

F. An Illustrative Example to Build a Balanced Cluster

In this section, we demonstrate a real example of how to apply our model to build a cost-effective, balanced cluster. To reflect today’s data-, compute-, and memory-intensive scientific applications, we consider the work done by the CPU, I/O and memory subsystem (i.e., $W_{cpu}$, $W_{io}$, and $W_{mem}$) are equal for that application. That is, using the notation in Table I, the application balance can be written as, $\gamma_{io} = \gamma_{mem} = 1$

The Unit Price in Table II shows the current price trend for different processor, storage and memory alternatives in their corresponding unit. We consider Intel Xeon processor. As it can be seen in Table II, the cost per MBPS of sequential I/O for both HDD and SATA-SSD is almost similar irrespective of change in storage technology provided the same storage space per disk. Whereas, the I/O bandwidth cost started reducing significantly with NVMe SSD. The cost per GB of DRAM is increased almost double from DDR2 to DDR3.

We first calculated the average cost of each hardware component from the available list (Table II) in terms of their corresponding unit price. For example, we have two different Xeon processors, E5-series and D-series as shown in Table II. Their respective unit prices are $42/GHz and $54/GHz. Hence, in this example we selected average unit cost of the processor

1Sample costs are collected from amazon.com, newegg.com, ark.intel.com.
as $48/GHz. Similarly the cost of DRAM is calculated as $7.20/GB. To eliminate the impact of storage amount while calculating the I/O bandwidth cost we calculated the unit price of a disk in terms of cost per GBPS per TB. That is, we calculated the cost per GBPS using the same storage capacity (1TB) for each disk. This way, the average I/O bandwidth cost is $1661.35/GBPS.

Next, we divided the I/O cost per GBPS and DRAM cost per GB by the CPU cost per GHz to get the cost balance for I/O and memory respectively. Using the notation in Table I, cost balance for this example can be written as: \( \delta_{io} = 34.61 \) and \( \delta_{mem} = 0.15 \).

Finally, for \( \gamma_{io} = \gamma_{mem} = 1 \) (i.e., CPU-, I/O- and memory-intensive application), using Equation 10 and 11 we get \( \beta_{io}^{opt} = 0.17 \) and \( \beta_{mem}^{opt} = 2.7 \).

### V. EXPERIMENTAL TESTBEDS

1) **SuperMikell (Traditional HPC cluster):** This LSU HPC cluster offers a total of 440 computing nodes. However, a maximum 128 can be allocated at a time to a single user. SuperMikell has two 8-core Intel Xeon E5 series processors per node thus offering huge processing power. However, each SuperMikell node is equipped with only one HDD (Western Digital RE4), thus limited in terms of I/O bandwidth. Also, each SuperMikell node has only 32GB DRAM (Dell). As a result, SuperMikell has \( \beta_{io} = 0.003 \) and \( \beta_{mem} = 0.77 \), both a magnitude smaller than the optimum produced by our model for an I/O- and compute-intensive application (Equation 10). On the other hand, each SwatIII node has 256GB DRAM (Samsung DDR3), thus achieving a very high value for \( \beta_{mem} = 6.15 \). It is worth noticing that \( \beta_{mem} \) of SwatIII is even higher than the optimum produced by the model (Equation 11). Using Figure 1 (or using Equation 10 and 11 with SuperMikell hardware cost shown in Table II), we can show SwatIII can produce cost optimized performance when \( \gamma_{io} = 0.01 \) and \( \gamma_{mem} = 1.47 \). That is, SwatIII can be a good choice for moderately I/O-intensive applications and for memory-intensive applications such as in-memory NoSQL. However, SwatIII may show worse cost/performance for many of the modern I/O-intensive big data applications.

2) **CeresII (MicroBrick-based Hyperscale System):** CeresII, is a novel hyperscale system, based on Samsung MicroBrick with a maximum of 40 nodes available to us. Each MicroBrick (or simply a compute server) of CeresII consists of a 6core Intel Xeon D-1541 processor with a core frequency of 2GHz, one NVMe-SSD (Samsung PM953) with an I/O bandwidth of 2GBPS, and 64GB DRAM (Samsung DDR3). \( \beta_{io} \) of CeresII is 0.17 which is same as the optimum calculated by our model in Equation 10. On the other hand, \( \beta_{mem} \) of each CeresII module is 5.33. Although, it is higher than the optimal, it is less than SwatIII. Thus, CeresII is the most balanced cluster among all the available resources and we expect to get the best cost to performance for today’s I/O-, compute- and memory-intensive applications.

3) **SwatIII (Existing Datacenter):** SwatIII is a novel hyperscale system, based on Samsung MicroBrick with a maximum of 40 nodes available to us. Each MicroBrick (or simply a compute server) of CeresII consists of a 6core Intel Xeon D-1541 processor with a core frequency of 2GHz, one NVMe-SSD (Samsung PM953) with an I/O bandwidth of 2GBPS, and 64GB DRAM (Samsung DDR3). \( \beta_{io} \) of CeresII is 0.17 which is same as the optimum calculated by our model in Equation 10. On the other hand, \( \beta_{mem} \) of each CeresII module is 5.33. Although, it is higher than the optimal, it is less than SwatIII. Thus, CeresII is the most balanced cluster among all the available resources and we expect to get the best cost to performance for today’s I/O-, compute- and memory-intensive applications.

4) **The relationship between cluster-balance and the cluster-capability:** The I/O and memory balance terms (\( \beta_{io} \) and \( \beta_{mem} \)) indicates the level of contention for I/O devices and memory subsystem respectively. The ratio between \( \beta_{io} \) (or, \( \beta_{mem} \)) of two different clusters can indicate their relative level of contention in I/O subsystem (or memory subsystem).

As a concrete example, let us consider CeresII (6 cores, 1 NVMe SSD per node, and \( \beta_{io} = 0.166 \)) and SuperMikell (16 cores, 1 HDD per node, and \( \beta_{io} = 0.003 \)). For their corresponding value of \( \beta_{io} \) we can say CeresII is almost 55 (0.166/0.003) times more balanced, or more powerful than SuperMikell. It can be interpreted as, CeresII has 55 times less I/O contention compared to SuperMikell. Consequently, to achieve an optimized performance as CeresII, SuperMikell needs almost 55 HDDs per node if those same 16 cores are used. This corollary of the proposed model can also be verified with other well known cluster architectures such as, GrayWulf [19] which won the storage challenge in SC-08 with 8 cores.

### TABLE III: Experimental Testbeds

<table>
<thead>
<tr>
<th>Cluster name</th>
<th>Processor</th>
<th>CPU Core Speed</th>
<th>#Cores /node</th>
<th>CPU Speed /node</th>
<th>#Disks /node and type</th>
<th>Seq I/O Bandwidth /Disk</th>
<th>Seq I/O Bandwidth /node</th>
<th>DRAM /node</th>
<th>Max. #Nodes available</th>
<th>( \beta_{io} )</th>
<th>( \beta_{mem} )</th>
</tr>
</thead>
<tbody>
<tr>
<td>SuperMikell (Traditional Supercomputer)</td>
<td>Two 8-core SandyBridge Xeon</td>
<td>2.6 GHz</td>
<td>16</td>
<td>41.6 GHz</td>
<td>1-HDD (SATA)</td>
<td>0.15 GBPS</td>
<td>0.15 GBPS</td>
<td>32 GB</td>
<td>128</td>
<td>0.003</td>
<td>0.77</td>
</tr>
<tr>
<td>SwatIII (Regular Datacenter)</td>
<td>Two 8-core SandyBridge Xeon</td>
<td>2.6 GHz</td>
<td>16</td>
<td>41.6 GHz</td>
<td>4-HDD (SATA)</td>
<td>0.15 GBPS</td>
<td>0.60 GBPS</td>
<td>256 GB</td>
<td>16</td>
<td>0.015</td>
<td>6.15</td>
</tr>
<tr>
<td>CeresII (MicroBrick-based System)</td>
<td>One 6-core Xeon</td>
<td>2 GHz</td>
<td>6</td>
<td>12 GHz</td>
<td>1-SSD (NVMe)</td>
<td>2 GBPS</td>
<td>2 GBPS</td>
<td>64 GB</td>
<td>40</td>
<td>0.166</td>
<td>5.33</td>
</tr>
</tbody>
</table>
and 30 HDDs per node (comparing to 16 cores and 55 HDDs per node as predicted by our model). 

\( \beta_{io} \) and \( \beta_{mem} \) can also be used to determine the scalability across different cluster architectures more accurately. The speed up can be determined using Universal Scalability Law [20] by Neil Gunther, 

\[ S(p) = \frac{p}{1 + c_1(p-1) + c_2(p-1)^2} \]

Where, \( p \) is the total number of processors. \( S(p) \) is the speed up. \( c_1 \) is the level of contention and \( c_2 \) is the coherency delay. In absence of any coherency delay (i.e., \( c_2 = 0 \)), the relative contention of two systems can be easily derived using the ratio of their corresponding \( \beta_{io} \) or \( \beta_{mem} \) to get a better estimate of scalability. However, the detailed analysis of scalability of a data-intensive application, and modification of the corresponding laws should possibly be the focus of a different paper.

VI. CLUSTER EVALUATION METHODOLOGY

A. Hadoop configuration overview

To evaluate different clusters we use Clouera-Hadoop-2.3.0 and Giraph-1.1.0. We set up the Hadoop and Yarn parameters such that the application can make use of maximum available processing speed, I/O bandwidth, and DRAM. For example, we used all the compute cores for YARN where each Hadoop (and Giraph) worker uses one core. On the other hand, keeping 10% of DRAM for system use, we divided the rest among all Hadoop workers equally. For Giraph, we used enough DRAM to accommodate the entire graph structure in memory and always avoided out-of-core execution. We spread the HDFS data (with replication factor 1) and the shuffled data among all the disk(s) in the node using default scheduling of Hadoop.

B. Benchmark application characteristics

Table IV summarizes the details of the benchmark applications. We used three benchmark applications for our evaluation: TeraSort, WordCount, and a real world genome assembly application described as follows:

1) TeraSort: The map phase of TeraSort samples and partitions the input data based upon only first few characters. The reduce phase then uses the quicksort algorithm to sort each of the partitions locally. The map phase of TeraSort is CPU-intensive as it reads only the first few characters of each row in the input dataset to generate the key (called sample-key) for each data. However, based upon the data size, the reduce phase can be severely I/O-intensive.

2) WordCount: The map phase of WordCount parses the input dataset line by line to extract each word. Each word is emitted with a 1 as its initial count, which is then summed up in the reduce phase to output its frequency. Since both the map and reduce phase read the entire data set sequentially just once, both phases of WordCount is CPU-intensive.

3) Genome assembly: Accounting the scarcity of good big data HPC benchmark and relevant big dataset we use our own genome assembler [21] built atop Hadoop and Giraph as a real use case which represents many HPC/Datacenter applications. 1) The first stage of the assembler is a shuffle intensive Hadoop job representing an I/O-bound application. It scans through all genomic short reads (lines containing \( A, T, G \) or \( C \) only) and divides them to smaller fragment of length \( k \), called \( k \)-mer. The map phase emits two consecutive \( k \)-mers as intermediate key-value pairs representing a vertex and an edge from it. Reduce phase aggregate all edges from each vertex to write the entire graph structure on HDFS. 2) The second stage of our genome assembler represents terabyte-scale graph processing which is the core part of many HPC problem. In this phase, we compress each of the linear chains in the graph structure to one vertex. Then we remove all the tip and bubble structure of the graph as those are introduced because of sequencing error.

C. Data Size

For both TeraSort and WordCount, we generated 1TB random dataset as the input. The shuffle and output data size of TeraSort is also same as its input (i.e., 1TB in this work). The output of WordCount may vary based upon the frequency of different words in the randomly generated dataset. However, it is closed to 1TB.

For the genome assembly benchmark application, we use a large human genome dataset (452GB), openly available in NCBI website (http://www.ncbi.nlm.nih.gov) with accession number SRX016231. The corresponding graph size is 3.2TB. The Hadoop stage of the assembly application is severely shuffle intensive. The temporary shuffle data is almost 21-times more than the input size.

VII. RESULTS AND DISCUSSION

A. Evaluation Results of TeraSort and WordCount

Figure 2 compares the relative merit of all the three cluster architectures (i.e. SuperMikII, SwatIII and CeresII). To show the balance between performance and economy, we keep the total cost the same across all the clusters. Table II shows the available resources for all three clusters while keeping the total cost same across all the clusters. We used the cost of 16 nodes of SuperMikII as the baseline. Then, we divided this baseline-cost by the cost of each node in SwatIII and CeresII to count the number of nodes to be used in these two different clusters.
TABLE IV: Data size for different benchmark applications

<table>
<thead>
<tr>
<th>Job name</th>
<th>Job Type</th>
<th>Input</th>
<th>Final output</th>
<th># jobs</th>
<th>Shuffle data</th>
<th>HDFS Data</th>
<th>Application Characteristics</th>
</tr>
</thead>
<tbody>
<tr>
<td>Terasort</td>
<td>Hadoop</td>
<td>1TB</td>
<td>1TB</td>
<td>1</td>
<td>1TB</td>
<td>1TB</td>
<td>Map: CPU-intensive, Reduce: I/O-intensive</td>
</tr>
<tr>
<td>Wordcount</td>
<td>Hadoop</td>
<td>1TB</td>
<td>1TB</td>
<td>1</td>
<td>1TB</td>
<td>1TB</td>
<td>Map and Reduce: CPU-Intensive</td>
</tr>
<tr>
<td>Graph Construction (human genome)</td>
<td>Hadoop</td>
<td>452GB (2B reads)</td>
<td>3TB</td>
<td>2</td>
<td>9.9TB</td>
<td>3.2TB</td>
<td>Map and Reduce: CPU- and I/O-intensive</td>
</tr>
<tr>
<td>Graph Simplification (human genome)</td>
<td>Series of Graph jobs</td>
<td>3.2TB (1.5B vertices)</td>
<td>3.8GB (3M vertices)</td>
<td>15</td>
<td>-</td>
<td>4.1TB</td>
<td>Memory-Intensive</td>
</tr>
</tbody>
</table>

TABLE V: Resources in each cluster architecture used for TeraSort and WordCount

<table>
<thead>
<tr>
<th>Cluster Configurations</th>
<th>SuperMikeII</th>
<th>SwatIII</th>
<th>CeresII</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total cost ($)</td>
<td>60864</td>
<td>60864</td>
<td>60864</td>
</tr>
<tr>
<td>Cost/nodes ($)</td>
<td>3804</td>
<td>6911</td>
<td>2700</td>
</tr>
<tr>
<td>#Nodes</td>
<td>16</td>
<td>9</td>
<td>23</td>
</tr>
<tr>
<td>Total processing speed (GHz)</td>
<td>665.60</td>
<td>374.4</td>
<td>276.00</td>
</tr>
<tr>
<td>Total I/O bandwidth (GBPS)</td>
<td>2.4</td>
<td>5.40</td>
<td>46.00</td>
</tr>
<tr>
<td>Total storage space (TB)</td>
<td>8.00</td>
<td>21.60</td>
<td>21.85</td>
</tr>
<tr>
<td>Total DRAM Size (TB)</td>
<td>0.50</td>
<td>2.34</td>
<td>1.47</td>
</tr>
</tbody>
</table>

We ran TeraSort and WordCount in all three cluster configurations and measured their execution time. All results are the means of at least three runs of each application on each configuration. Figure 2 shows the results normalized to the SuperMikeII baseline. That is, the execution time of SuperMikeII is always 1. CeresII, being closer to the optimum produced by our model performs significantly well:

1) Comparing to the SuperMikeII baseline, for both TeraSort and WordCount, CeresII shows almost 65% improvement.

2) Comparing to SwatIII, CeresII shows almost 50% improvement in execution time for TeraSort and WordCount respectively.

B. System Characteristics

To monitor the system characteristics we used the Cloudera-Manager-5.0.0. The observations are as follows:

1) As shown in Figure 3a and 3b, for the compute-intensive WordCount application, on an average CeresII shows 20% better CPU utilization compared to SuperMikeII. Whereas for TeraSort with an I/O intensive reduce phase results in almost 50% better CPU utilization in CeresII than SuperMikeII. Comparing to SwatIII, CeresII shows almost 15 to 40% better CPU utilization for TeraSort and WordCount respectively.

2) Figure 3c and 3d shows the reason behind the better CPU utilization of CeresII. Since the architectural balance of CeresII closely resembles to the optimal $\beta_{io}$ produced by our model, CeresII shows almost negligible I/O wait (less than 2%) for any of the applications. On the other extreme, SuperMikeII with only one HDD results in extremely low $\beta_{io}$, consequently shows highest I/O wait among all the cluster architecture. SwatIII with four HDD per node shows an I/O wait lower than SuperMikeII but significantly higher than CeresII.

3) Figure 3e and 3f compare the I/O throughput of all the clusters. CeresII with the most optimal $\beta_{io}$ shows the highest I/O throughput and SuperMikeII with the lowest $\beta_{io}$ shows the lowest I/O throughput among all the clusters. SwatIII lies in between these two extremes as its $\beta_{io}$ lies between them.

C. Evaluation Results of Large-Size Human Genome Assembly

To assemble the large human genome (452GB), we used the maximum available resources in each of the clusters to accommodate the huge amount of shuffled data (9.9TB) and the graph data (3.2TB). That is, we used all 128 nodes of SuperMikeII, 16 nodes of SwatIII, and 40 nodes of CeresII for this application. Figure 4a and 4b show the execution time and the cost/performance (i.e., $\text{cost} \times \text{execution-time}$)
TABLE VI: Maximum available resources in each cluster architecture (used for large human genome assembly)

<table>
<thead>
<tr>
<th>Cluster Configurations</th>
<th>SuperMikeII</th>
<th>SwatIII</th>
<th>CeresII</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total cost ($)</td>
<td>486912</td>
<td>110576</td>
<td>108000</td>
</tr>
<tr>
<td>#Nodes</td>
<td>128</td>
<td>16</td>
<td>40</td>
</tr>
<tr>
<td>Total processing speed (GHz)</td>
<td>53.24</td>
<td>66.5</td>
<td>480.00</td>
</tr>
<tr>
<td>Total I/O bandwidth (GBPS)</td>
<td>19.2</td>
<td>9.60</td>
<td>80.00</td>
</tr>
<tr>
<td>Total storage space (TB)</td>
<td>64.00</td>
<td>35.40</td>
<td>40.00</td>
</tr>
<tr>
<td>Total DRAM Size (TB)</td>
<td>4</td>
<td>4</td>
<td>2.56</td>
</tr>
</tbody>
</table>

respectively for the Hadoop and Giraph stages of the human genome assembly. The results are as follows:

1) CeresII, even with almost 90% less processing speed than SuperMikeII across the cluster, outperformed it by almost 88% in terms of cost/performance. In Giraph stage the corresponding gain is 85%. In terms of execution time CeresII gains almost 30% and 20% respectively over SuperMikeII.

2) Comparing to SwatIII, the processing power of CeresII is 72%. However, due to the optimal architectural balance, CeresII shows almost 50% and 30% improvements over SwatIII in terms of cost/performance for Hadoop and Giraph respectively. For execution time, the respective gains are 50% and 20%.

VIII. CONCLUSION AND FUTURE WORK

Big data needs big resources. With increasing popularity of data-driven research, it is obvious that providing more resources (processing speed, I/O bandwidth, DRAM, etc.) will provide more performance. So, the major challenge is now in providing expected performance in reduced cost. We make an initial attempt to analytically resolve the performance and cost conundrum prevalent in big data cyberinfrastructure.

The model also provides a new metric to show the capacity of the HPC clusters. To date, the capacity of an HPC cluster is shown in FLOPS, which actually shows the CPU capacity only. For I/O and memory-bound applications, \( \beta_{io} \) and \( \beta_{mem} \) can provide a better and easy-to-use alternative of FLOPS.

In this initial attempt we focus on simplicity of the model so that it can be used for most practical purposes (e.g., investing for a new cluster with limited information on application characteristics). However, it is possible to include more subtle parameters (e.g., CPU multi-threading, I/O latency, etc.) to improve its accuracy.

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